



合作金庫商業銀行 香港分行

Taiwan Cooperative Bank Hong Kong Branch

SUBSCRIPTION INSTRUCTION FORM

申購指示書

申請日期 Application Date: _____

(日 DD/ 月 MM/ 年 YYYY)

Note 注意:

1. This form is applicable to existing clients only. 此表格只適用於現有客戶。

2. Please complete in block and fax/mail this form to the office below: 請填妥本表格並將其傳真/郵寄予下列辦公室

Taiwan Cooperative Bank Hong Kong Branch (13/F, Everbright Centre 108 Gloucester Road, Wanchai, Hong Kong)

TEL:(852) 2598 1128

合作金庫商業銀行香港分行 (香港灣仔告士打道 108 號 光大中心 13 樓)

FAX:(852) 3177 2524

1. Accountholder Particulars 投資帳戶持有人資料

Investment Account No. 投資帳戶號碼	9065 – 888 – <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> – <input type="text"/>	TEL 聯絡電話 : _____
Name of Investment Account 投資帳戶戶名		

2. Subscription Instruction 申購指示

Fund Code 基金代號 (Unamendable 不可更改) *3 碼英文字+5 碼數字	Fund Name 基金名稱	Currency 幣別	Investment Amount 投資金額	Net Fee Rate 實收手續費率 (For Bank Use Only)(銀行專用)

3. Authorized Account To Be Debited 授權扣款帳號(授權責行由本人/本人等帳號扣款)

9065 – 665 – –

4. Disclosure of Transaction Related Information 交易披露

- Taiwan Cooperative Bank Hong Kong Branch (“TCBHK”) act as Agent and do not have any affiliation with the Product issuer. 本分行以代理人行事，且與產品發行人並無任何聯繫。
- TCBHK is NOT independent in this transaction because 本分行並非獨立的中介人，理由如下：
 - TCBHK receives fees, commissions, or other monetary benefits from other parties (which may include product issuers) in relation to distribution of the Product. For details, please refer to the disclosure of monetary benefits in subsection c below; and/or 本分行有收取由其他人士(可能包括產品發行人)就我們向閣下分銷任何投資產品而提供的費用、佣金或任何其他金錢收益。詳情請參閱 c 條。
 - TCBHK receives non-monetary benefits from other parties, please refer to the disclosure of non-monetary benefits in subsection c below. 本分行有收取其他人士提供的非金錢收益。詳情請參閱 c 條。
- Monetary & non-monetary benefits 金錢及非金錢收益
 - Monetary benefits receivable from Product issuer – Explicit remuneration arrangement :TCBHK will receive from the Product issuer up to % of my/our investment amount as front-end load/ rebate. 本分行會從基金公司收取最多 % 的申購手續費作為我們的收益。
 - Trailer fees receivable from the Product issuer – Monetary benefit that are not quantifiable prior to or at the point of entering into the transaction :TCBHK will receive from the Product issuer up to % of the Product’s annual management fees as ongoing commission every year throughout the term of my/our investment. 本分行會從認購/轉入基金之產品發行人(基金公司)最多收取基金年度管理費的 %，作為我們在閣下的整段投資期間內每年持續收取的佣金。
 - Non-monetary benefits: TCBHK will receive from the Product issuer non-monetary benefits such as sales support and services for distribution this Product. 本分行會從基金公司取得於銷售本產品時的銷售支援及服務。
- Discount of fees and charges may depend on a number of factors, including but not limited to transaction size, market condition and cost, please refer to our official website. 本行可能會考慮若干因素，包括但不限於交易數量、市場狀況及成本提供手續費優惠，詳情請參閱本行網站。

5. Declaration and Signature 聲明及簽署

- I/We agree and acknowledge that this Subscription Instruction Form is issued to you subject to all terms and conditions applicable to investment account with you. 本人/本人等同意及確認本申購指示書的發出受所有適用於開立在實行的投資帳戶的章程及條款所約束。
- I/We agree and acknowledge that I/We understand our own situation before making any investment decision and have read the risk warning and understand the risks involved in making investment in Fund(s) subscribed in this application. 本人/本人等同意及確認本人/本人等在作出基金投資決定前，已充分了解自己的實際情況、已閱讀過風險警告並明白作出投資以上認購之基金所涉及的風險。
- I/We agree and acknowledge that I/We have received, read and understood the relevant offering or constitutive documents in relation to the above investment product(s) and agree to be bound by them. 本人/本人等同意及確認本人/本人等已收到、閱讀及明白上述投資產品的銷售或限制性文件，並同意受該等文件的約束。
- I/We agree and understand that you accept no responsibility for failure to follow and/or act on this Subscription Instruction Form save for your gross negligence or willful neglect. 本人/本人等同意及明白，除因貴行重大疏忽或故意失責外，貴行毋須因未能執行或遵從本申購指示而承擔任何責任。
- I/We hereby confirm that I/We am/are not a resident(s) of the United States of America (“US”) or Canada (“CA”), whether for US or CA securities or tax laws or for any other purposes. I/We also confirm that I/We am/are not acting as agent(s) on behalf of any US and/or CA resident. I/We undertake to immediately notify the Bank should I/We (in case of a joint account, any one of the Applicant) become or be deemed to be resident of US or CA at any future time. 本人/本人等確認，無論從美國或加拿大的證券或稅務法例或其他方面而言，申請人均並非美國或加拿大的居民。申請人並不代表任何美國或加拿大居民行事。申請人承諾，如本人/本人等(如為聯名帳戶，則其中任何一位申請人)日後成為或被視作美國或加拿大居民，將立即通知本行。
- The investment product(s)/ financial product(s) is(are) not a protected deposit, and is(are) NOT protected by Deposit Protection Scheme. 此投資商品/金融商品並非受保障存款，亦不受存保計畫保障。
- I/We have read and understood the “Disclosure of Transaction Related Information” in this form prior to signing this completed form. 在簽署此表格前，本人/本人等以閱讀並了解此表格中的交易相關信息披露。
- I/We acknowledge and agree that TCBHK may disclose my/our personal information to the Counterparty/ies and their service providers for the purpose of administering my/our investments or as required by applicable law or regulations or regulatory or governmental bodies of the prevention or detection of crime. 本人/本人等承認並同意貴行可以向基金公司及其服務提供商披露本人/本人等的投資或根據適用法律、法規、監管或政府機構要求進行預防或犯罪偵察。

Authorized Signature 授權簽字人員簽署(公司戶請加蓋公司章)



合作金庫商業銀行 香港分行

Taiwan Cooperative Bank Hong Kong Branch

For Bank Use Only(銀行專用)

B. Supervisor 後台主管	B. Handling Person 後台經辦	F. Supervisor 前台主管	F. Handling Person 前台經辦	Date/Time/Ext. 日期/時間/分機號碼